



Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

2143  
#5  
T.D.  
02/20/03

EFS ID: 23080  
Application ID: 09705812  
Title of Invention: System and method for  
automated database assistance  
to financial service operators  
First Named Inventor: Rajesh Hora  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-11-06  
Effective Receipt Date: 2003-02-11  
Submission Type: Information Disclosure Statement  
Filing Type: null  
Confirmation Number: 6544  
Attorney Docket Number: 42950.000009  
Digital Certificate Holder: cn=Herbert V. Kerner, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: KdhqNxZE11foVGRP3+V0eA==



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# TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Information  
Disclosure Statement

Application Number: 09/705,812  
Attorney Docket Number: 42950.000009

## System and method for automated database assistance to financial service operators

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First Named Inventor: Rajesh Hora

### SUBMITTED BY

Name: Herbert Kerner  
Registration Number: 42,721  
Electronic Signature Mark: Herbert Kerner  
Date Signed: 20030211

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### Attached Files:

us-information-disclosure-statement      4thIDSfor42950ids.xml

**Comments:**

# Electronic Information Disclosure Statement

## System and method for automated database assistance to financial service operators

Application:



Confirmation:

6544

Applicant(s):

Rajesh Hora

Docket Number:

42950.000009

Group Art Unit:

2152

Examiner:

search string:

( 5740231 or 5450537 ).pn.

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### US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	5740231	1998-04-14		Cohn		
	P02	5450537	1995-09-12		Hirai		

**Signature**

Examiner Name	Date



Electronic Filing System (EFS) Data  
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2143

#7  
TID.  
02/20/03

EFS ID: 23077  
Application ID: 09705812  
Title of Invention: System and method for automated database assistance to financial service operators  
First Named Inventor: Rajesh Hora  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-11-06  
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### Attached Files:

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2ndIDSfor42950ids.xml

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Application:   
09/705812

Confirmation: 6544

Applicant(s): Rajesh Hora

Docket  
Number: 42950.000009

Group Art  
Unit: 2152




Examiner:

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search string: ( 5790650 or 5794221 or 5802498 or 5802502 or 5793861 or 5815683 or 5819285 or 5819092 or 5826250 or 5826241 or 5826245 or 5832476 or 5835580 or 5838906 or 5842178 or 5842211 or 5844553 or 5845259 or 5848427 or 5847709 or 5862223 or 5870456 or 5870724 or 5873072 or 5884032 or 5884288 or 5889863 or 5903881 or 5918217 or 5926812 or 5918214 or 5933823 or 5940812 or 5933827 or 5933816 or 5952641 or 5963953 or 5970482 or 5982370 or 5991780 or 5995948 or 5999907 or 6018714 or 4633397 or 4695880 or 4713761 or 5473732 or 5592560 or 5594837 or 5621812 ).pn.

## US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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	P02	5794221	1998-08-11		Egendorf		
	P03	5802498	1998-09-01		Comesanas		

P04	5802502	1998-09-01		Gell
P05	5793861	1998-09-11		Haigh
P06	5815683	1998-09-29		Vogler
P07	5819285	1998-10-06		Damico
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P12	5832476	1998-11-03		Tada
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P15	5842178	1998-11-24		Giovannoli
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P18	5845259	1998-12-01		West
P19	5848427	1998-12-08		Hyodo
P20	5847709	1998-12-08		Card
P21	5862223	1999-01-19		Walker
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P25	5884032	1999-03-16		Bateman
P26	5884288	1999-03-16		Chang
P27	5889863	1999-03-30		Weber
P28	5903881	1999-05-11		Schrader
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P30	5926812	1999-07-20		Hilsenrath
P31	5918214	1999-07-29		Perkowski
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	P33	5940812	1999-08-17		Tengel
	P34	5933827	1999-09-03		Cole
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	P43	6018714	2000-01-25		Risen
	P44	4633397	1986-12-30		Macco
	P45	4695880	1987-09-22		Johnson
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	P49	5594837	1997-01-14		Noyes
	P50	5621812	1997-04-15		Deaton

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## Signature

Examiner Name	Date



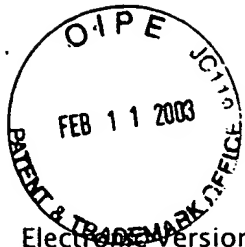
Electronic Filing System (EFS) Data  
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2143  
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T.D.  
02/20/03

EFS ID: 23074  
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Title of Invention: System and method for automated database assistance to financial service operators  
First Named Inventor: Rajesh Hora  
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Attorney Docket Number: 42950.000009  
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Application Number: 09/705,812

Attorney Docket  
Number: 42950.000009

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First Named Inventor: Rajesh Hora

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Registration Number: 42,721  
Electronic Signature Mark: Herbert Kerner Date Signed: 20030211

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### Attached Files:

us-information-disclosure-statement

IDSfor42950ids.xml

Comments:



# Electronic Information Disclosure Statement

## System and method for automated database assistance to financial service operators

Application:



09/705812

Confirmation: 6544

Applicant(s): Rajesh Hora

Docket

42950.000009

Number:

Group Art

2152

Unit:

Examiner:

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search string:

(3938091 or 4321672 or 4567359 or 4725719 or 4823264 or 4882675 or 4964043 or 4992940 or 5050207 or 5084816 or 5157717 or 5220501 or 5265033 or 5321841 or 5351186 or 5420405 or 5424938 or 5446740 or 5473143 or 5485370 or 5511117 or 5532920 or 5537314 or 5537473 or 5544086 or 5557518 or 5568489 or 5570465 or 5590197 or 5598557 or 5606496 or 5621789 or 5625767 or 5634101 or 5644493 or 5652786 or 5653914 or 5657383 or 5659165 or 5664115 or 5684870 or 5710887 or 5710889 or 5727163 or 5754840 or 5758328 or 5761661 or 5761647 or 5774122 or 5778178 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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	P02	4321672	1982-03-23		Thomson		
	P03	4567359	1986-01-28		Lockwood		
	P04	4725719	1988-02-16		Roach		
	P05	4823264	1989-04-18		Deming		
	P06	4882675	1989-11-21		Nichtberger		
	P07	4964043	1990-10-16		Galvin		

P08	4992940	1991-02-12		Dworkin
P09	5050207	1991-09-17		Hitchcock
P10	5084816	1992-01-28		Boese
P11	5157717	1992-10-20		Hitchcock
P12	5220501	1993-06-15		Lawlor
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P14	5321841	1994-06-14		East
P15	5351186	1994-09-27		Bullock
P16	5420405	1995-05-30		Chasek
P17	5424938	1995-06-13		Wagner
P18	5446740	1995-08-29		Yien
P19	5473143	1995-12-05		Vak
P20	5485370	1996-01-16		Naylor
P21	5511117	1996-04-23		Zazzera
P22	5532920	1996-07-05		Hartrick
P23	5537314	1996-07-16		Kanter
P24	5537473	1996-07-16		Kaku
P25	5544086	1996-08-06		Davis
P26	5557518	1996-09-17		Rosen
P27	5568489	1996-10-22		Yien
P28	5570465	1996-10-29		Tsakanikas
P29	5590197	1996-12-31		Chen
P30	5598557	1997-01-28		Doner
P31	5606496	1997-02-25		D'Agostino
P32	5621789	1997-04-15		McCalmont
P33	5625767	1997-04-29		Bartell
P34	5634101	1997-05-27		Blau
P35	5644493	1997-07-01		Motai
P36	5652786	1997-07-29		Rogers
P37	5653914	1997-08-05		Gruener
P38	5657383	1997-08-12		Gerber



P39	5659165	1997-08-19		Jennings
P40	5664115	1997-09-02		Fraser
P41	5684870	1997-11-04		Maloney
P42	5710887	1998-01-20		Chelliah
P43	5710889	1998-01-20		Clark
P44	5727163	1998-03-10		Bezos
P45	5754840	1998-05-19		Rivette
P46	5758328	1998-05-26		Giovannoli
P47	5761661	1998-06-02		Coussenns
P48	5761647	1998-06-02		Boushy
P49	5774122	1998-06-30		Kojima
P50	5778178	1998-07-07		Arunachalam

## Signature

Examiner Name	Date



Electronic Filing System (EFS) Data  
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2143

#8

T.D.  
02/11/03

EFS ID: 23079  
Application ID: 09705812  
Title of Invention: System and method for  
automated database assistance  
to financial service operators  
First Named Inventor: Rajesh Hora  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2000-11-06  
Effective Receipt Date: 2003-02-11  
Submission Type: Information Disclosure Statement  
Filing Type: null  
Confirmation Number: 6544  
Attorney Docket Number: 47004.000009  
Digital Certificate Holder: cn=Herbert V. Kerner, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
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Submission Type: Information  
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Application Number: 09/705,812  
Attorney Docket Number: 47004.000009

## System and method for automated database assistance to financial service operators

First Named Inventor: Rajesh Hora

### SUBMITTED BY

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**Comments:**



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Application:



09/705812

Confirmation: 6544

Applicant(s): Rajesh Hora

Docket

47004.000009

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Group Art

2152

Unit:

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search string: ( 5638457 or 5675662 or 5734838 or 5784562 or 5790785 or 5794259 or 5796395 or 5815657 or 5845260 or 5892900 or 5898780 or 5914472 or 5915244 or 5918239 or 5933817 or 5953710 or 5958007 or 5960411 or 5963952 or 5969318 or 5991751 or 6005939 or 6012088 or 6014636 or 6014638 or 6026429 or 6032147 or 6049835 or 6055637 or 6061665 or 6064987 or 6088700 or 6098070 or 6112181 or 6131810 or 6134549 or 6144948 or 6148293 or 6185242 or 6189029 or 6195644 or 6201948 or 6170011 or 4696491 or 5317683 or 6088683 or 5016270 or 6081810 or 5412708 or 5467269 or 20010054003 or 20020010599 ).pn.

## US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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	P02	5675662	1997-10-07		Deaton		
	P03	5734838	1998-03-31		Robinson		

P04	5784562	1998-07-21		Diener
P05	5790785	1998-08-04		Klug
P06	5794259	1998-08-11		Kikinis
P07	5796395	1998-08-18		De Hond
P08	5815657	1998-09-29		Williams
P09	5845260	1998-12-01		Nakano
P10	5892900	1999-04-06		Ginter
P11	5898780	1999-04-27		Liu
P12	5914472	1999-06-22		Foladare
P13	5915244	1999-06-22		Jack
P14	5918239	1999-06-29		Allen
P15	5933817	1999-08-03		Hucal
P16	5953710	1999-09-14		Fleming
P17	5958007	1999-09-28		Lee
P18	5960411	1999-09-28		Hartman
P19	5963952	1999-10-05		Kent
P20	5969318	1999-10-19		Mackenthun
P21	5991751	1999-11-23		Rivette
P22	6005939	1999-12-21		Fortenberry
P23	6012088	2000-01-04		Li
P24	6014636	2000-01-11		Reeder
P25	6014638	2000-01-11		Burge
P26	6026429	2000-02-15		Jones
P27	6032147	2000-02-29		Williams
P28	6049835	2000-04-11		Gagnon
P29	6055637	2000-04-25		Hudson
P30	6061665	2000-05-09		Bahreman
P31	6064987	2000-05-16		Walker
P32	6088700	2000-07-11		Larsen

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	P34	6112181	2000-08-29		Shear
	P35	6131810	2000-10-17		Weiss
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	P38	6148293	2000-11-14		King
	P39	6185242	2001-02-06		Arthur
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	P49	5412708	1995-05-02		Katz
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## Published Applications

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	U01	20010054003	2001-12-20		Chien		
	U02	20020010599	2002-01-24		Levison		



## Signature

Examiner Name	Date





GP2152

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TECHNOLOGY CENTER 2800

EFS ID: 23074  
Application ID: 09705812  
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First Named Inventor: Rajesh Hora  
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Applicant(s): Rajesh Hora

Docket Number: 42950.000009

Group Art Unit: 2152

Examiner:

search string: (3938091 or 4321672 or 4567359 or 4725719 or 4823264 or 4882675 or 4964043 or 4992940 or 5050207 or 5084816 or 5157717 or 5220501 or 5265033 or 5321841 or 5351186 or 5420405 or 5424938 or 5446740 or 5473143 or 5485370 or 5511117 or 5532920 or 5537314 or 5537473 or 5544086 or 5557518 or 5568489 or 5570465 or 5590197 or 5598557 or 5606496 or 5621789 or 5625767 or 5634101 or 5644493 or 5652786 or 5653914 or 5657383 or 5659165 or 5664115 or 5684870 or 5710887 or 5710889 or 5727163 or 5754840 or 5758328 or 5761661 or 5761647 or 5774122 or 5778178 ).pn.

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P11	5157717	1992-10-20		Hitchcock
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P13	5265033	1993-11-23		Vajk
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P15	5351186	1994-09-27		Bullock
P16	5420405	1995-05-30		Chasek
P17	5424938	1995-06-13		Wagner
P18	5446740	1995-08-29		Yien
P19	5473143	1995-12-05		Vak
P20	5485370	1996-01-16		Naylor
P21	5511117	1996-04-23		Zazzera
P22	5532920	1996-07-05		Hartrick
P23	5537314	1996-07-16		Kanter
P24	5537473	1996-07-16		Kaku
P25	5544086	1996-08-06		Davis
P26	5557518	1996-09-17		Rosen
P27	5568489	1996-10-22		Yien
P28	5570465	1996-10-29		Tsakanikas
P29	5590197	1996-12-31		Chen
P30	5598557	1997-01-28		Doner
P31	5606496	1997-02-25		D'Agostino
P32	5621789	1997-04-15		McCalmont

	P33	5625767	1997-04-29		Bartell
	P34	5634101	1997-05-27		Blau
	P35	5644493	1997-07-01		Motai
	P36	5652786	1997-07-29		Rogers
	P37	5653914	1997-08-05		Gruener
	P38	5657383	1997-08-12		Gerber
	P39	5659165	1997-08-19		Jennings
	P40	5664115	1997-09-02		Fraser
	P41	5684870	1997-11-04		Maloney
	P42	5710887	1998-01-20		Chelliah
	P43	5710889	1998-01-20		Clark
	P44	5727163	1998-03-10		Bezos
	P45	5754840	1998-05-19		Rivette
	P46	5758328	1998-05-26		Giovannoli
	P47	5761661	1998-06-02		Coussenns
	P48	5761647	1998-06-02		Boushy
	P49	5774122	1998-06-30		Kojima
	P50	5778178	1998-07-07		Arunachalam

## Signature

Examiner Name	Date